

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 1101

Title: Director's Office

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$2,655,454

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Division Director and immediate staff are the administrative and support unit for the entire Division of Mental Health /Developmental Disabilities/Substance Abuse Services.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 1103

Title: Human Resources

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$297,655

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has the responsibility of providing a full range of personnel services for the Central Office and the Wright School.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 2292

Title: Mental Health Services - Adult

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,139,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track Unit Cost Reimbursement (UCR) and Non-UCR expenditures associated with provider services contracted through the Local Management Entities (LMEs) across the state for mental health services provided to adults.

Allocation Base Definition:

Costs are charged directly to benefiting program(s) based on contracted services.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Crisis Counseling, CFDA 93.982
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 2800

Title: Deputy Director's Office

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$210,609

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Deputy Director and support staff are the administrative and support unit (directly behind the Director) for the following: (1) State Operated Services, (2) Community Policy Management, (3) Resource and Regulatory Management, (4) Administrative Services, (5) Institutions, (6) Local Management Entities (LMEs) and (7) Schools.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 2889

Title: Mental Health Services for Returning Vets

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$97,117

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This center has been established to track expenditures associated with efforts to provide mental health services to armed forces veterans returning home from duties/tours abroad.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 3401

Title: Contracts - NC Jail Diversion

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$10,891,262

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was established to track all the contracts associated with the Mental Health Jail Diversion Program. Contracts consist of written agreements between the NC Department of Health and Human Services and Local Management Entities (LMEs), qualified Mental Health Facilities, justice officers and social workers. These contracts specify the quality of service to be provided, specific duties and performance specific rates, as well as contract nullifications.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 3800

Title: State Operated Services

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,281,208

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The State Operated Services Section of the Division is to ensure exemplary practices related to operations of state facilities and transition from state-operated services to community capacity developments. Certain costs specifically related to the Block Grants for Community Mental Health Services will be direct charged to the grant with approval of the Budget Office.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Other Receipts
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 3850

Title: Just Culture

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track travel and administrative cost received for the specific purpose of implementing the "Just Culture" program to promote patient safety in the facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Other Receipts

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4017

Title: Family, Infant and Preschool Program (FIPP)

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$199,998

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center includes expenses for an Early Intervention (EI) program designed to develop and implement a loaning program, including: to establish a system to ensure convenient and timely access to Assistive Technology (AT) for 18 Children's Developmental Services Agencies (CDSAs), to determine CDSA needs and preferences regarding AT lending, to complete an inventory of currently-available equipment, to determine needs for equipment purchase, and to implement a web-based data system to maintain a statewide inventory of available AT and develop procedures.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: Division of Public Health Contract 26769.

Time Period Used: N/A

Benefiting Program(s) List: Special Education-Grants for Infants and Families, CFDA 84.181A

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4018

Title: Speech and Hearing Grant

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$190,343

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was established to track the costs of Early Hearing Detection and Intervention programs in the J. Iverson Riddle Developmental Center per IMOA # 01834-11 between the Division of Public Health and the Division of State Operated Healthcare Facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: Division of Public Health Contract 26573.

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4291

Title: Substance Abuse Services

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$31,588,700

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was created to track adult costs associated with the SAMHSA Eight Strategic Initiatives to reduce the Mental Health and Substance Abuse impact on America's communities. These costs are both Unit Cost Reimbursements (UCR) as well as Non-Unit Cost Reimbursement for Mental Health and Substance Abuse Services rendered by Local Management Entities (LME) and DMH/DD/SAS.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4293

Title: Dx Chld Hm Tx-Com Sb Abuse

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$475,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was created to track child and adolescent costs associated with the SAMHSA Eight Strategic Initiatives to reduce the Mental Health and Substance Abuse impact on America's communities. These costs are both Unit Cost Reimbursements (UCR) as well as Non-Unit Cost Reimbursement for Mental Health and Substance Abuse Services rendered by Local Management Entities (LMEs) and the Division of Mental Health/Developmental Disabilities/Substance Abuse Services.

Allocation Base Definition:

Costs are charged directly to benefiting program(s) based on contracted services.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4800**Title:** Community Policy Management - Chief's Office**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$945,230**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

This section is primarily responsible for leadership, guidance, and management of relationships with Local Management Entities (LMEs). The Community Policy Management Section will place special emphasis on the relationship to Federal partners to include the following: the Substance Abuse and Mental Health Services Administration; the Center for Medicaid and Medicare Services; the Office of National Drug Control Policy; and other Federal Departments and agencies. Additionally, this section is assigned the Department's Employee Assistance Program (EAP) to provide support of Department employees and their families and to influence the development of effective EAP programs in the community.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)**Time Period Used:** Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243
Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4810

Title: Community Policy Management - Chief's Office
EAP

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$188,480

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This team is assigned the Department's Employee Assistance Program (EAP) to provide support of Department employees and their families and to influence the development of effective EAP programs in the community.

Allocation Base Definition:

Costs are charged directly to State Appropriations.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4820

Title: Community Policy Management - Justice Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$150,800

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management and support for the Justice Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4821

Title: Community Policy Management - Justice -
SAPTBG

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$32,028

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide leadership regarding best practice and innovations related to types of supports, services and treatments provided with a focus on the Substance Abuse community.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA
93.959

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4822

Title: Community Policy Management - Justice - Other

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$297,356

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide leadership regarding best practice and innovations related to types of supports, services and treatments provided. There is a great deal of policy interest and emphasis on the mental health, developmental disabilities, and adult and child substance abuse populations and systems interface with law enforcement agencies (state, county and local) and correctional systems. The Justice Team focuses on matters relative to justice systems via best practice methodologies.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4823**Title:** Community Policy Management - Justice - Drug Regulatory**Effective Date:** 07/01/14 (Retroactive to 08/01/13)**Prior Effective Date:** 07/01/13**Budget:** \$185,768**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

This center was established to provide for the transfer of the Drug Regulatory group from the Resource & Regulatory Management Section-Regulatory Team to the Community Policy Management Section-Justice Team. This realignment ensures that the Division has a strong and visible presence with local law enforcement agencies and other health and human services providers. In addition, the Section will assume the expanded role of strengthening relationships among local, state and federal enforcement and justice agencies, the division, LMEs and service providers.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A**Time Period Used:** N/A

Benefiting Program(s) List: Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program, CFDA 16.580
Harold Rogers Prescription Drug Monitoring Program, CFDA 16.754
Other Receipts

Updates:

04/01/2014 Harold Rogers Prescription Drug Monitoring Programs, CFDA 16.754

07/01/2014 Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program, CFDA 16.580

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4830

Title: Community Policy Management - LME Team

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$897,542

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Local Management Entities (LME) Team has two primary areas of responsibility:

1. Contract Development and Implementation - To lead and coordinate the Division's efforts to develop, negotiate, monitor and manage contracts with the LMEs.
2. Circumstantial Contract Monitoring and/or Site Visits - Coordinating cross-Division teams to conduct a scoped site review of LMEs when there is evidence of LME or provider network problems with specific areas of best practice or emerging best practice or compliance, performance and/or outcomes.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data: 1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4840

Title: Community Policy Management - Quality Management Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$552,100

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The primary purpose of this cost center is to provide administrative management and support for the Quality Management Team.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4841**Title:** Community Policy Management - Quality Management Team**Effective Date:** 07/01/14 (Retroactive to 03/01/14)**Prior Effective Date:** 07/01/13**Budget:** \$356,073**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

The primary purpose of this team is to establish standards of quality for the division, state operated facilities, Local Management Entities (LMEs) and providers and to evaluate the performance of the system. The team defines performance measures of quality--including structural, process and outcome measures--and then tracks those measures and produces regular reports for state and local policy makers and the general public on the performance of the system. In addition, the team periodically conducts evaluations of special initiatives and analyses on emerging issues.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs. Charges to Behavioral Health Services Information System are charged directly to the grant based on services provided.

Source of Statistical Data: 1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Behavioral Health Services Information System
Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4841

Title: Community Policy Management - Quality Management Team

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4850**Title:** Community Policy Management - Prevention**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$672,167**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

The Prevention Team is designed to meet the special provision requirements approved by the N.C. General Assembly in Section 10.24 (a). This is to ensure that individuals receive effective substance abuse prevention services. The team also works to develop an appropriate evidence-based prevention framework for mental health and developmental disabilities. The policy focus on prevention, as well as early intervention, is intended to promote efforts to mitigate the human, social and economic consequences of adverse life circumstances resulting from the failure to respond at an early onset of a disability or to an at-risk population.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to Local Management Entities (LMEs).

Source of Statistical Data:

1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
State Appropriations
State Outcomes Measurement and Management (SOMMS) for data reporting with SAMHSA
Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243
Tobacco Compliance Check Inspection Program
Traumatic Brain Injury State Demonstration Grant Program, CFDA 93.234

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4850

Title: Community Policy Management - Prevention

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4852

Title: CPM - System of Care (SOC)

Effective Date: 07/01/14 (Retroactive to 03/01/14)

Prior Effective Date: 07/01/13

Budget: \$44,059

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures supported by NC System of Care (Expansion Planning Project) funding to sustain the NC SOC model across agencies through the state by strengthening infrastructure needed to support SOC and to assess the continuum of services and supports for children and youth.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED), CFDA 93.104

Updates:

07/01/2014 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED), CFDA 93.104

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4853

Title: Adoption Incentive Payments

Effective Date: 07/01/201

Prior Effective Date:

Budget: \$0

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

100% of salary and benefits for position 65018952 should be charged to the FDA grant.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4860

Title: Community Policy Management - Best Practice Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$120,155

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management and support for the Best Practice Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED), CFDA 93.104
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4861**Title:** Community Policy Management - Best Practice**Effective Date:** 07/01/14 (Retroactive to 03/01/14)**Prior Effective Date:****Budget:** \$717,470**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

The Best Practice Team provides leadership regarding best practice and innovations related to both the types of supports, services and treatments provided on an individual basis as well as with systems performance. Efforts to provide this leadership include the development and dissemination of best practice models as well as the development and management of demonstration and unique projects and programs.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs. Charges to DOJ are charged directly to the grant based on services provided.

Source of Statistical Data:

1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
DOJ 100% State Funding
Medical Assistance Program, CFDA 93.778
Projects for Assistance in Transition from Homelessness (PATH), CFDA 93.150
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4865

Title: Adolescent Treatment Coordination Project

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$60,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This project will develop a sustainable infrastructure for substance abuse treatment coordination that will strengthen the capacity to serve youth and their families in need of substance abuse treatment.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4876

Title: Enforcing Underage Drinking - FFY10

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$20,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was assigned to track all expenditures associated with the FFY2010 Underage Drinking Laws Grant Award.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Enforcing Underage Drinking Laws Program, CFDA 16.727

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4877

Title: Enforcing Underage Drinking FFY11

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$12,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This RCC was assigned to track all expenditures associated with the FFY2011 Underage Drinking Laws Grant Award.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Enforcing Underage Drinking Laws Program, CFDA 16.727

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4892

Title: DOJ Supported Employment

Effective Date: 10/01/13 (Retroactive to 06/01/13)

Prior Effective Date:

Budget: \$618,016

DCA Reference:

Approved:

Description of Services Provided:

This cost center is used to track budget and expenditures in support of the Transitions to Community Living Initiative in accordance with S.L. 2012-142, Section 20.23A. These costs are specific to the efforts regarding community-based services and assists with supported employment.

Allocation Base Definition:

Costs are charged directly to benefiting program(s) based on services provided.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

New Cost Center

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4893

Title: DOJ Mental Health Services

Effective Date: 10/01/13 (Retroactive to 06/01/13)

Prior Effective Date:

Budget: \$432,000

DCA Reference:

Approved:

Description of Services Provided:

This cost center is used to track budget and expenditures in support of the Transitions to Community Living Initiative in accordance with S.L. 2012-142, Section 20.23A. These costs are specific to the efforts regarding community-based services for housing support.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Updates:

New Cost Center

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4895

Title: DOJ ACTT Training

Effective Date: 10/01/13 (Retroactive to 06/01/13)

Prior Effective Date:

Budget: \$133,726

DCA Reference:

Approved:

Description of Services Provided:

This cost center is used to track budget and expenditures in support of the Transitions to Community Living Initiative in accordance with S.L 2012-142, Section 20.23A. These costs are specific to the efforts regarding community-based services in support of the Assertive Community Treatment Team (ACTT) training.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4897

Title: DOJ-PASSAR Screening and Diversion

Effective Date: 10/01/12

Prior Effective Date:

Budget: \$0

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

State Law 2012-142 Established a transitions to Community Living fund to facilitate implementation of the plan to transition individuals with severe mental illness to community living arrangements, including establishing a rental assistance program. Services to be provided by the DMH/DD/SAS include community-based housing development and support, MCO in-reach and transition coordination, consumer screening and quality management. This RCC is utilized for the PASSAR Screening function within the Accountability Team of the DMH/DD/SAS, and as associated with the DOJ Settlement.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 4898**Title:** DOJ-PASSAR Screening (Physician Screening)**Effective Date:** 10/01/12**Prior Effective Date:****Budget:** \$0**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

State Law 2012-142 Established a transitions to Community Living fund to facilitate implementation of the plan to transition individuals with severe mental illness to community living arrangements, including establishing a rental assistance program. Services to be provided by the DMH/DD/SAS include community-based housing development and support, MCO in-reach and transition coordination, consumer screening and quality management. This RCC is utilized for the Physician PASSAR Screening function within the Director's Office of the DMH/DD/SAS, and as associated with the DOJ Settlement.

Allocation Base Definition:**Source of Statistical Data:** N/A**Time Period Used:** N/A**Benefiting Program(s) List:** Medical Assistance Program, CFDA 93.778**Updates:**

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 4899

Title: DOJ-Oversight (Best Practice Team)

Effective Date: 07/01/14 (Retroactive to 03/01/14)

Prior Effective Date: 07/01/13

Budget: \$326,176

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

State Law 2012-142 Established a transitions to Community Living fund to facilitate implementation of the plan to transition individuals with severe mental illness to community living arrangements, including establishing a rental assistance program. Services to be provided by the DMH/DD/SAS include community-based housing development and support, MCO in-reach and transition coordination, consumer screening and quality management. This RCC is utilized for the oversight & quality management function within the Best Practice Team of the DMH/DD/SAS, and as associated with the DOJ Settlement.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data:

Time Period Used: N/A

Benefiting Program(s) List: DOJ 100% State Funding
Medical Assistance Program, CFDA 93.778

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5221

Title: Developmental Disability--East

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$44,169,413

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures for the Local Management Entities (LMEs) and Managed Care Organizations (MCOs) in the eastern region of the state for the Mental Health Block Grant, Substance Abuse Prevention and Treatment Block Grant and PATH Grant for mental health and substance abuse services within their respective catchment areas.

Allocation Base Definition:

Costs are charged directly to benefiting program(s) based on contracted services.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Enhance Safety of Children Affected by Substance Abuse, CFDA 93.087
Projects for Assistance in Transition from Homelessness (PATH), CFDA 93.150
Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5222

Title: Substance Abuse Services--East

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$2,543,447

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures for the Local Management Entities (LMEs) and Managed Care Organizations (MCOs) in the eastern region of the state utilizing the Substance Abuse Prevention and Treatment Block Grant for substance abuse services within their respective catchment areas.

Allocation Base Definition:

Costs are charged directly to benefiting program(s). Charges to DOJ are charged directly to the grant based on services provided.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5800

Title: Resource and Regulatory Management - Chief's Office

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$741,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management and support for the Resource and Regulatory Management Section under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5810

Title: Resource and Regulatory Management - Chief's Office - Medicaid

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$81,290

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide direct statistical support to the Chief of Resource and Regulatory Management for Medicaid related data.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5820

Title: Resource and Regulatory Management -
Accountability Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$148,631

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management to the Accountability Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5821

Title: Resource and Regulatory Management -
Accountability Team

Effective Date: 07/01/03

Prior Effective Date:

Budget: \$1,481,360

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This team is responsible for ensuring Medicaid and overall fiscal integrity with the Division to include state operated services and the community system.

Allocation Base Definition:

Costs are allocated based on Personal Activity Reports (PAR).

Source of Statistical Data: Personal Activity Report (PAR).

Time Period Used: Prior Month

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5823

Title: Resource and Regulatory Management -
Accountability - PASAAR (FRC C3)

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,142

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to meet Preadmission Screening and Annual Resident Review (PASARR) requirements.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5830

Title: Resource and Regulatory Management - Budget
Budget and Finance Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$147,292

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this group is to provide administrative management and support to the Budget and Finance Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5831

Title: Resource and Regulatory Management - Budget - LMEs

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$187,959

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this position is to provide budget and revenue analysis and support for community funding - specifically, Local Management Entities (LMEs) and services.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data:

1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5832

Title: Resource and Regulatory Management -
Budget - Institutions

Effective Date: 07/01/03

Prior Effective Date:

Budget: \$75,963

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this position is to coordinate common budgetary, revenue and operational issues across all Division State Facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5833

Title: Resource and Regulatory Management - Budget & Finance - Other

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$215,605

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The positions in this cost center are responsible for comprehensively planning, developing, implementing and managing budget and finance strategies for the Division's Central Office.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5840

Title: Resource and Regulatory Management - Contract Management

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$348,603

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Contract Management Team has three primary areas of responsibility:

1. The team will provide contract management to ensure that contracts are performance based and monitored and that they are developed in accordance with all State and Federal requirements governing contracting and procurement.
2. The team will manage the compliance with all Federal requirements related to block grants, cooperative agreements, contracts and other grants.
3. Additional duties managed by the Contract Management Team include property management, surplus property disposal, purchasing and employee parking for the Central Office of the DMH/DD/SAS.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5850

Title: Resource and Regulatory Management -
Information Services Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$294,629

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this group is to provide administrative management and support to the Information Services Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
State Outcomes Measurement and Management (SOMMS) for data reporting with SAMHSA

Updates:

04/01/2014 State Outcomes Measurement and Management (SOMMS) for data reporting with SAMHSA

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5851

Title: Resource and Regulatory Management -
Information Services - DASIS

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$94,395

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this position is to support efforts associated with the Federal DASIS (Drug and Alcohol Services Information System) Grant. The Division receives a quarterly payment from Synectics for Management Decisions, Inc. (under contract with the Substance Abuse and Mental Health Services Administration – SAMHSA). The Division in turn is required to submit data to Synectics. Synectics uses the data to conduct a variety of tasks for DASIS.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Drug and Alcohol Services Information System (DASIS)

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5853

Title: Resource and Regulatory Management -
Information Services - Technical Servi

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$193,980

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Technical Services Branch provides LAN administration, hardware installation, maintenance and oversight, telecommunications coordination and assistance to all divisional users of shared IT systems. Responsibilities include directing the strategic planning activities of the automated systems - including planning and assessment of automation needs, systems, network design, development, enhancement and problem resolution.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5854

Title: Resource and Regulatory Management - Information Services - LMEs

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$163,750

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this RCC is to plan, manage and monitor the Division's IPRS (Integrated Payment and Reporting System) operating system. IPRS is the Division's payment and reporting system for the Local Management Entities (LMEs).

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data: 1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5855

Title: Resource and Regulatory Management -
Information Services - HEARTS

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$239,087

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this RCC is to plan, manage and monitor the Division's HEARTS (Health Enterprise and Accounts Receivable Tracking System) operating system. HEARTS is the Division's reporting system associated with the state facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5856

Title: Resource and Regulatory Management -
Information Services - HIPAA

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$141,362

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This RCC is used to track costs associated with the Health Insurance Portability and Accountability Act (HIPAA) of 1996 (Public Law 104-191). This act is designed to improve the efficiency and effectiveness of the healthcare system by standardizing the interchange of electronic data for specified administrative and financial transactions and to protect the security and confidentiality of electronic health information.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 5857

Title: Resource and Regulatory Management - Information Services - Client Data Ser

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$557,157

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Client Data Services Team is responsible for developing methods and application of methods related to data collection, entry and retrieval of information required by the Division, the Department and outside stakeholders. This includes administration, management and monitoring of the Division's various operation systems. Also encompassed is related planning, development, coordination, implementation, monitoring and management of programmatic as well as fiscal data and information.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data:

1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List:

- Block Grants for Community Mental Health Services, CFDA 93.958
- Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
- Medical Assistance Program, CFDA 93.778
- State Appropriations
- State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6100

Title: Admin Contracts - State

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,869,535

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to record the costs of various state contracts providing for such things as a Medical Services Director for the UNC-CH Department of Psychiatry and mental illness and substance abuse training for area programs. Also included is the cost to implement an education and enforcement program to reduce youth access to tobacco products.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: NA

Time Period Used: NA

Benefiting Program(s) List: State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6101

Title: Mental Health Block Grant Contracts

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,523,287

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to record the costs of various contracts relating to the Mental Health Block Grant such as providing technical assistance, training and education on housing development for persons served by the Division; providing information and support to mental health consumers; and providing training to Western Region Area Programs.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6102

Title: SAPT Block Grant Contracts

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$5,176,945

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to record the costs of various contracts relating to substance abuse prevention and treatment such as providing scholarships to master's level addictions counseling students; providing tobacco use prevention education and cessation training; providing substance abuse credential training; implementing mentoring projects for males; preparing written clinical guidelines; providing information and referral services; providing substance abuse training; and conducting studies and evaluation programs.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6110

Title: Overall DMH Contracts

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$1,560

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This RCC is used for contracts that have been set up to benefit the entire DMH/DD/SAS. Any contracts assigned to this RCC benefit and/or complement the goals of the entire division.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost
Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6115

Title: Medicaid Admin. And Training

Effective Date: 10/01/13 (Retroactive to 07/01/13)

Prior Effective Date:

Budget: \$0

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and contractual expenditures supported by DMA training and administrative federal funds.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6126

Title: Contracts - Olmstead Grant

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$60,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track expenditures for contracts involving the Olmstead Grant.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6133

Title: Controlled Substances Reporting Systems
Contracts

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$49,781

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track contract expenditures for Controlled Substances Reporting Systems, which is supported by receipts received by the DMH/DD/SAS.

Allocation Base Definition:

Cost center is 100% receipt supported.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List:

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6134

Title: NC Prevent Under Age Drinking

Effective Date: 10/01/13 (Retroactive to 07/01/13)

Prior Effective Date:

Budget: \$72,846

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track contractual expenditures supported by funding by the Department of Public Safety, Governor's Crime Control Commission. The purpose for these funds is to reduce and prevent underage drinking in North Carolina.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Other Receipts

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6139

Title: Contracts-FDA NC State Enforcement

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$48,179

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track contractual expenditures supported by the FDA NC State Enforcement Program. The program goal is to prevent sales of tobacco products to minors by monitoring and periodic inspection of point-of-sale locations.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Tobacco Compliance Check Inspection Program

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6147

Title: Contracts - IT - IPRS

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$96,500

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This RCC has been established to track Contracts directly related to the Integrated Payment and Reporting System (IPRS). IPRS is used to track, pay and report on all claims submitted by providers for MH/DD/SA service rendered.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data:

1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6150

Title: Contracts - Division of State Operated
Healthcare Facilities

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$68,055

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is established to track all contract expenditures for the State Operated Healthcare Facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6163

Title: Contracts--Enforce Underage Drinking - FFY 10

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$338,580

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was assigned to track contract expenditures associated with the Underage Drinking Laws Grant Award for the Federal Fiscal Year 2010 award.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Enforcing Underage Drinking Laws Program, CFDA 16.727

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6164

Title: State Outcomes Measurement and Management System (SOMMS) Data

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$100,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is established to track the contract costs associated with the data quality of State Outcomes Measurement and Management (SOMMS) information provided to SAMHSA for DASIS.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Outcomes Measurement and Management (SOMMS) for data reporting with SAMHSA

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6166

Title: Contracts - TBI Project

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$100,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track contract expenditures associated with the Traumatic Brain Injury (TBI) Project.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations
Traumatic Brain Injury State Demonstration Grant Program, CFDA 93.234

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6175

Title: Contracts Enforcing Underage Drinking FFY11

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$570,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center was assigned to track contract expenditures associated with the Underage Drinking Laws Grant Award for the Federal Fiscal Year 2011 Grant Award.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Enforcing Underage Drinking Laws Program, CFDA 16.727

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6176

Title: Contracts - DSOHF Duke Endowment

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$400,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track contract expenditures associated with the "Just Culture" program to promote patient safety in the facilities.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Other Receipts

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6182

Title: Contracts - Prescription Drug Monitoring

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$49,781

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track contract expenditures for the Harold Rogers Prescription Drug Monitoring Program. Monitoring programs are systems designed to help prevent and detect the diversion and abuse of pharmaceutically controlled substances - particularly at the retail level where no other automated information collection system exists. The result is to identify drug abuse trends and possible sources of diversion; uncover outmoded prescribing practices; and develop meaningful and appropriate educational programs for medical professionals.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List:

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6190

Title: Contracts - SBIRT - FRC QU 100% FFP

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$130,789

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track contractual expenditures supported by the Screening, Brief Intervention and Referral to Treatment (SBIRT) grant. The purpose of this program is to implement screening, brief intervention and referral to treatment services for adults in primary care and community health settings, for substance misuse and substance use disorders (SUD).

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6192

Title: Contracts--NC Prevention Prepared Community

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$81,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This RCC was assigned to track contract expenditures associated with the North Carolina Prevention Prepared Communities federal grant. The associated revenue account is 5388KA.

Allocation Base Definition:

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Substance Abuse and Mental Health Services-Projects of Regional and National Significance, CFDA 93.243

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6220**Title:** LME Advance Payment**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$154,329,171**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

In accordance with the State Mental Health Plan, mental health, developmental disabilities, and substance abuse services are provided by Local Management Entities (LMEs). The Division has adopted a program which provides direct funding to the LMEs for system management functions replacing the previous method of having area program administrative cost included as a component of service payments. The Division, working with the individual LME, determines the annual LME system management allocation. One-twelfth of this allocation is paid each month to the LME as an advance. These advance payments are charged to RCC 6220 and are funded with 100% state appropriations. RCC 6220 serves as a clearing account.

Each month, the LME is required to report expenditures categorized as follows: (1) Total Expenditures for Claims Processing, (2) Salary and Fringe Benefits Cost of Qualified Medical Professionals (QMPs) and their direct support staff, (3) all other expenditures and (4) Monthly depreciation and indirect cost. Upon receipt of each report, Claims Processing costs (item 1 above) are reclassified to RCC 6223 LME Provider Billing Costs. QMP costs (item 2 above) are reclassified to RCC 6222 LME QMP Costs. Other costs, depreciation and indirect cost (Items 3 & 4 from above) are reclassified to RCC 6221 LME Admin and IT Costs.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A**Time Period Used:** N/A**Benefiting Program(s) List:** State Appropriations**Updates:**

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6221**Title:** LME Admin and IT Costs**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$94,303,090**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

In accordance with the State Mental Health Plan, mental health, developmental disabilities and substance abuse services are provided by Local Management Entities (LMEs). The Division has adopted a program which provides direct funding to the LMEs for system management functions replacing the previous method of having area program administrative cost included as a component of service payments. The Division, working with the individual LME, determines the annual LME system management allocation. One-twelfth of this allocation is paid each month to the LME as an advance. These advance payments are charged to RCC 6220 and are funded with 100% State Appropriations. RCC 6220 serves as a clearing account.

Costs in this RCC are reclassified from the clearing account (RCC 6220) based on the LME monthly report and include all reported LME administrative and IT costs other than QMP costs and costs for Claims Processing. Expenditures allocated to Medicaid in RCC 6221 are reimbursed at a 50% rate.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims.

Source of Statistical Data: NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6222**Title:** LME QMP Costs**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$52,727,150**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

In accordance with the State Mental Health Plan, mental health, developmental disabilities and substance abuse services are provided by Local Management Entities (LMEs). The Division has adopted a program which provides direct funding to the LMEs for system management functions replacing the previous method of having area program administrative cost included as a component of service payments. The Division, working with the individual LME, determines the annual LME system management allocation. One-twelfth of this allocation is paid each month to the LME as an advance. These advance payments are charged to RCC 6220 and are funded with 100% State Appropriations. RCC 6220 serves as a clearing account.

Costs in this RCC are reclassified from the clearing account (RCC 6220) based on the LME monthly report and include Salary and Fringe Benefits Cost of Qualified Medical Professionals (QMPs) their direct support staff performing the following functions: (1) Access, Screening, Triage & Referral, (2) Provider Relations and Support, (3) Service Management, and (4) Customer Services. Expenditures allocated to Medicaid in RCC 6222 are reimbursed at a 75% rate

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims.

Source of Statistical Data: NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6223**Title:** LME Provider Billing Costs**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$12,640,560**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

In accordance with the State Mental Health Plan, mental health, developmental disabilities and substance abuse services are provided by Local Management Entities (LMEs). The Division has adopted a program which provides direct funding to the LMEs for system management functions replacing the previous method of having area program administrative cost included as a component of service payments. The Division, working with the individual LME, determines the annual LME system management allocation. One-twelfth of this allocation is paid each month to the LME as an advance. These advance payments are charged to RCC 6220 and are funded with 100% State Appropriations. RCC 6220 serves as a clearing account.

Costs in this RCC are reclassified from the clearing account (RCC 6220) based on the LME monthly report and include costs for claims processing (billings flowing through the LME for payment).

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: NA**Time Period Used:** NA**Benefiting Program(s) List:** State Appropriations**Updates:**

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6800

Title: Advocacy and Customer Services Chief

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$270,082

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management and support for the Advocacy and Customer Services Section under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6810**Title:** Advocacy and Customer Services - Consumer Empowerment**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$589,467**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

The purpose of this team is to ensure consumer and advocacy voice and input into Division planning, implementation, management and improvement efforts. The Consumer Empowerment Team also provides support and technical assistance to self-advocacy initiatives.

Allocation Base Definition:

Costs are allocated to Medicaid based on the number of Medicaid claim lines compared to the total claim lines for all DMH/DD/SAS claims. Remaining costs are allocated based on the prior month's distribution of payments made by the DMH/DD/SAS to LMEs.

Source of Statistical Data: 1. NCDHHS Integrated Payment and Reporting System (IPRS) and Healthcare Enterprise Accounts Receivable Tracking System (HEARTS).

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 6820

Title: Advocacy and Customer Services - Customer Service

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$424,664

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this team is to ensure the rights protection of consumers being served in the community, to provide a response system for customer complaints and to monitor the community customer services systems.

Allocation Base Definition:

Costs are allocated based on Personal Activity Reports (PAR).

Source of Statistical Data: Personal Activity Report (PAR).

Time Period Used: Prior Month

Benefiting Program(s) List: Medical Assistance Program, CFDA 93.778
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan**Section:****RCC:** 6830**Title:** Advocacy and Customer Services -
State Facility Advocates Team**Effective Date:** 07/01/13**Prior Effective Date:****Budget:** \$169,768**DCA Reference:** NC DHHS PA CAP 07-01-13**Approved:** 04/23/14**Description of Services Provided:**

The State Facility Advocates Team is responsible for ensuring rights protection for the consumers in the DMH facilities. They are seen as the experts on consumer rights and they educate consumers, families/guardians, and staff on these rights. The advocates investigate any alleged or suspected incidents of abuse, neglect, exploitation or other rights violations. They are available to consumers and their families/guardians at all times to provide information or to hear complaints/grievances and rectify situations to the complainants' satisfaction. The advocates are responsible for frequently monitoring their assigned facilities, identifying areas for improvement and working with facility administrators to bring about positive changes on behalf of the consumers.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A**Time Period Used:** N/A**Benefiting Program(s) List:** State Appropriations, Home Office Cost**Updates:**

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7127

Title: CPM - Early Intervention - Prevention

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$281,454

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to support and enhance state efforts, in cooperation with local jurisdictions, to enforce laws prohibiting the sale of alcoholic beverages or the consumption of alcoholic beverages by minors.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Enforcing Underage Drinking Laws Program, CFDA 16.727

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7150

Title: Facility Training Funds

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$200,000

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center has been established to track expenditures associated with training direct care staff and front line leaders in patient care. Training includes medication administration, therapeutic communication, clinical and legal aspects of documentation and cultural awareness.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7800

Title: Administrative Services Chief

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$292,388

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this cost center is to provide administrative management and support for the Administrative Services Section under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Crisis Counseling, CFDA 93.982
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7810

Title: Administrative Services - Communication and Training

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$384,628

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This team has the following responsibilities in support of the State Operated Services Section, the Community Policy Management Section, the Resource and Regulatory Management Section, and the Advocacy and Customer Services Section:

1. Increasing public awareness regarding the efforts of the Division (particularly as related to reform);
2. Coordinating media relations for the Division;
3. Developing a comprehensive training plan for advancing Division member's competencies;
4. Developing training opportunities necessary for carrying out reform efforts;
5. Serving as the liaison to universities, community colleges and Area Health Education Centers (AHECs) to facilitate training for the State Plan;
6. Developing strategies to address workforce issues.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7820

Title: Administrative Services - Division Affairs Team Leader

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$149,902

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The purpose of this group is to provide administrative management and support to the Division Affairs Team under supervision.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supervised.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7821

Title: Administrative Services - Division Affairs APA

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$129,035

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The Administrative Procedures Act (APA) group is primarily responsible for coordinating the development of rules for the DMH/DD/SAS. These employees also develop, implement, manage, and monitor Division programmatic due process functions including Medicaid and provider appeals.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7822

Title: Administrative Services - Division Affairs
External Relations

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$176,794

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

The primary purpose of this group is to serve as liaison to commissions, advisory councils, and planning groups associated with the division. The employees also coordinate with the Department and, as assigned by or through the Director, serve as legislative liaison for the Division, including follow-through regarding concerns raised by legislators.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7830

Title: Administrative Services - Planning

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$376,886

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This team has the following responsibilities in support of the State Operated Services Section, the Community Policy Management Section, the Resource and Regulatory Management Section, and the Advocacy and Customer Services Section:

1. Providing technical oversight and assistance in implementing the Strategic Plan.
2. Providing technical oversight and assistance in implementing the State Plan.
3. Serve in the role of "Project Manager" for specific initiatives.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Current Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 7831

Title: FEMA Tornadoes of 2011

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$80,771

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track expenditures and revenues attributed to the tornadoes and natural disasters that occurred during state fiscal year 2011. Tracking those costs is managed through the Operations Section of the Division.

Allocation Base Definition:

Costs are charged directly to benefiting program(s) based on contracted services.

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Crisis Counseling, CFDA 93.982
FEMA - Tornadoes of 2011 Disaster 100% Reimbursable

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 9103

Title: Indirect Cost

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$2,089,995

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This center is established to cost allocate the division's share of departmental and Statewide indirect cost as approved by the Division of Cost Allocation.

Allocation Base Definition:

Costs are allocated based on paid full time equivalent (FTE) positions supported.

Source of Statistical Data: BO149 - Positions by Funding Source - BEACON (Payroll System)

Time Period Used: Prior Month

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Block Grants for Prevention and Treatment of Substance Abuse, CFDA 93.959
Drug and Alcohol Services Information System (DASIS)
Medical Assistance Program, CFDA 93.778
Other Receipts
State Appropriations
State Appropriations, Home Office Cost

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 9200

Title: Reserve--MHS

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$203,041

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures supported by state appropriations as determined by the General Assembly for the Division to utilize for mental health services across the state.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
State Appropriations

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 9250

Title: MHS--Reserve--Federal

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$30,794

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures supported by Community Block Grant funds as determined by the General Assembly for the Division to utilize for mental health services across the state.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List:

Updates:

NC DHHS DMH/DD/SAS Cost Allocation Plan

Section:

RCC: 9252

Title: Reserve--SA Federal

Effective Date: 07/01/13

Prior Effective Date:

Budget: \$91,750

DCA Reference: NC DHHS PA CAP 07-01-13

Approved: 04/23/14

Description of Services Provided:

This cost center is used to track budget and expenditures supported by substance abuse receipts and fees for substance abuse services across the state.

Allocation Base Definition:

Costs are charged directly to benefiting program(s).

Source of Statistical Data: N/A

Time Period Used: N/A

Benefiting Program(s) List: Block Grants for Community Mental Health Services, CFDA 93.958
Other Receipts

Updates: